

| General information about company | |
|--|-------------------------------------|
| Scrip code | 538653 |
| Name of the company | Appu Marketing & Manufacturing Ltd. |
| Whether company is SME | No |
| Class of Security | Equity Shares |
| Type of report | Quarterly |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | 30-09-2017 |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | |
| Shareholding pattern filed under | Regulation 31 (1) (b) |

| Sr. No. | Particular | Yes/No |
|---------|--|--------|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities ? | No |
| 3 | Whether the Listed Entity has issued any Warrants ? | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | No |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | No |
| 7 | Whether company has equity shares with differential voting rights? | No |

Table I - Summary Statement holding of specified securities

| Category (I) | Category of shareholder (II) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
|--------------|--------------------------------|----------------------------|--|--|---|--|---|---|------------|----------|-------------------------|
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of (A+B+C) |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| (A) | Promoter & Promoter Group | 3 | 151000 | | | 151000 | 1.04 | 151000 | | 151000 | 1.04 |
| (B) | Public | 2255 | 14425500 | | | 14425500 | 98.96 | 14425500 | | 14425500 | 98.96 |
| (C) | Non Promoter-Non Public | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | |
| | Total | 2258 | 14576500 | | | 14576500 | 100 | 14576500 | | 14576500 | 100 |

Table I - Summary Statement holding of specified securities

| Category (I) | Category of shareholder (II) | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
|--------------|--------------------------------|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| | | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| (A) | Promoter & Promoter Group | | | | 1.04 | | | | | 151000 |
| (B) | Public | | | | 98.96 | | | | | 14277570 |
| (C) | Non Promoter-Non Public | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | |
| | Total | | | | 100 | | | | | 14428570 |

| Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | | |
|---|---|----------------------------|--|--|---|--|---|---|------------|----------|-------------------------------------|
| Sr. | Category & Name of the Shareholders (I) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of Total Voting rights |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | |
| (1) | Indian | | | | | | | | | | |
| (a) | Individuals/Hindu undivided Family | 3 | 151000 | | | 151000 | 1.04 | 151000 | | 151000 | 1.04 |
| Sub-Total (A)(1) | | 3 | 151000 | | | 151000 | 1.04 | 151000 | | 151000 | 1.04 |
| (2) | Foreign | | | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2) | | 3 | 151000 | | | 151000 | 1.04 | 151000 | | 151000 | 1.04 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | | | |
| (1) | Institutions | | | | | | | | | | |
| (3) | Non-institutions | | | | | | | | | | |
| (a(i)) | Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | 1992 | 5613131 | | | 5613131 | 38.51 | 5613131 | | 5613131 | 38.51 |
| (a(ii)) | Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | 54 | 3116628 | | | 3116628 | 21.38 | 3116628 | | 3116628 | 21.38 |
| (e) | Any Other (specify) | 209 | 5695741 | | | 5695741 | 39.07 | 5695741 | | 5695741 | 39.07 |
| Sub-Total (B)(3) | | 2255 | 14425500 | | | 14425500 | 98.96 | 14425500 | | 14425500 | 98.96 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | 2255 | 14425500 | | | 14425500 | 98.96 | 14425500 | | 14425500 | 98.96 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | | | |
| Total (A+B+C2) | | 2258 | 14576500 | | | 14576500 | 100 | 14576500 | | 14576500 | 100 |
| Total (A+B+C) | | 2258 | 14576500 | | | 14576500 | 100 | 14576500 | | 14576500 | 100 |

| Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | |
|---|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| Sr. | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
| | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | |
| (1) | Indian | | | | | | | | |
| (a) | | | | 1.04 | | | | | 151000 |
| Sub-Total (A)(1) | | | | 1.04 | | | | | 151000 |
| (2) | Foreign | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2) | | | | 1.04 | | | | | 151000 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | |
| (1) | Institutions | | | | | | | | |
| (3) | Non-institutions | | | | | | | | |
| (a(i)) | | | | 38.51 | | | | | 5532781 |
| (a(ii)) | | | | 21.38 | | | | | 3116628 |
| (e) | | | | 39.07 | | | | | 5628161 |
| Sub-Total (B)(3) | | | | 98.96 | | | | | 14277570 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | | | 98.96 | | | | | 14277570 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | |
| Total (A+B+C2) | | | | 100 | | | | | 14428570 |
| Total (A+B+C) | | | | 100 | | | | | 14428570 |

| Individuals/Hindu undivided Family | | | | |
|--|------------|------------------|--------------------|---------------------------------------|
| Searial No. | 1 | 2 | 3 | |
| Name of the Shareholders (I) | ANJU GUPTA | SUDHIR GUPTA HUF | SUDHIR TULSI GUPTA | Click here to go back |
| PAN (II) | AEJPG2029J | AARHS2132N | AABPG2698H | Total |
| No. of fully paid up equity shares held (IV) | 41900 | 41100 | 68000 | 151000 |
| No. Of Partly paid-up equity shares held (V) | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | |
| Total nos. shares held (VII) = (IV)+(V)+ (VI) | 41900 | 41100 | 68000 | 151000 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 0.29 | 0.28 | 0.47 | 1.04 |
| Number of Voting Rights held in each class of securities (IX) | | | | |
| Class eg:X | 41900 | 41100 | 68000 | 151000 |
| Class eg:y | | | | |
| Total | 41900 | 41100 | 68000 | 151000 |
| Total as a % of Total Voting rights | 0.29 | 0.28 | 0.47 | 1.04 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2) | 0.29 | 0.28 | 0.47 | 1.04 |
| Number of Locked in shares (XII) | | | | |
| No. (a) | | | | |
| As a % of total Shares held (b) | | | | |
| Number of Shares pledged or otherwise encumbered (XIII) | | | | |

| | | | | |
|---|-------|-------|-------|--------|
| No. (a) | | | | |
| As a % of total Shares held (b) | | | | |
| Number of equity shares held in dematerialized form (XIV) | 41900 | 41100 | 68000 | 151000 |
| Reason for not providing PAN | | | | |
| Reason for not providing PAN | | | | |

| Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | | | |
|--|---------------------------|--------------|---------------------------------------|
| Searial No. | 1 | 2 | |
| Name of the Shareholders (I) | MANJUDEVI BECHHRAJ BEGANI | SARITA PATNI | Click here to go back |
| PAN (II) | ACTPB1367Q | AFYPP9461K | Total |
| No. of fully paid up equity shares held (IV) | 150000 | 210000 | 360000 |
| No. Of Partly paid-up equity shares held (V) | | | |
| No. Of shares underlying Depository Receipts (VI) | | | |
| Total nos. shares held (VII) = (IV)+(V)+ (VI) | 150000 | 210000 | 360000 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 1.03 | 1.44 | 2.47 |
| Number of Voting Rights held in each class of securities (IX) | | | |
| Class eg: X | 150000 | 210000 | 360000 |
| Class eg:y | | | |
| Total | 150000 | 210000 | 360000 |
| Total as a % of Total Voting rights | 1.03 | 1.44 | 2.47 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 1.03 | 1.44 | 2.47 |
| Number of Locked in shares (XII) | | | |
| No. (a) | | | |
| As a % of total Shares held (b) | | | |

| | | | |
|---|--------|--------|--------|
| Number of equity shares held in dematerialized form (XIV) | 150000 | 210000 | 360000 |
| Reason for not providing PAN | | | |
| Reason for not providing PAN | | | |

| Any Other (specify) | | | | | | | |
|---|---|--|--|--|--|--|--|
| Searial No. | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| Category | Bodies Corporate | Bodies Corporate | Bodies Corporate | Bodies Corporate | Bodies Corporate | Bodies Corporate | Bodies Corporate |
| Category / More than 1 percentage | More than 1 percentage of shareholding | More than 1 percentage of shareholding | More than 1 percentage of shareholding | More than 1 percentage of shareholding | More than 1 percentage of shareholding | More than 1 percentage of shareholding | More than 1 percentage of shareholding |
| Name of the Shareholders (I) | ANUBHAV PAPER SUPPLIERS PRIVATE LIMITED | ANURODH INFRASTRUCTURE PVT. LTD | ESCORT AUTO AGENCIES PRIVATE LIMITED | FASTNER MACHINERY DEALERS PVT. LTD | FOREMOST TEXTILES PRIVATE LIMITED | IDEAL PLYWOOD TRADERS PVT. LTD | MEGACITY FOOD SUPPLIERS PVT. LTD |
| PAN (II) | AAJCA1463D | AAJCA1464E | AACCE5993M | AABCF6671N | AACCF0283H | AACCI5171P | AAGCM9745H |
| No. of the Shareholders (I) | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| No. of fully paid up equity shares held (IV) | 176700 | 179788 | 255860 | 566857 | 243421 | 412238 | 260379 |
| No. Of Partly paid-up equity shares held (V) | | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | | |
| Total nos. shares held (VII) = (IV)+(V)+(VI) | 176700 | 179788 | 255860 | 566857 | 243421 | 412238 | 260379 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 1.21 | 1.23 | 1.76 | 3.89 | 1.67 | 2.83 | 1.79 |
| Number of Voting Rights held in each class of securities (IX) | | | | | | | |
| Class eg: X | 176700 | 179788 | 255860 | 566857 | 243421 | 412238 | 260379 |
| Class eg:y | | | | | | | |
| Total | 176700 | 179788 | 255860 | 566857 | 243421 | 412238 | 260379 |
| Total as a % of Total Voting rights | 1.21 | 1.23 | 1.76 | 3.89 | 1.67 | 2.83 | 1.79 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and | | | | | | | |

| | | | | | | | |
|--|--------|--------|--------|--------|--------|--------|--------|
| No. Of Warrants (Xi) (a) | | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 1.21 | 1.23 | 1.76 | 3.89 | 1.67 | 2.83 | 1.79 |
| Number of Locked in shares (XII) | | | | | | | |
| No. (a) | | | | | | | |
| As a % of total Shares held (b) | | | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 176700 | 179788 | 255860 | 566857 | 243421 | 412238 | 260379 |
| Reason for not providing PAN | | | | | | | |
| Reason for not providing PAN | | | | | | | |

| Any Other (specify) | | | | | | | |
|--|--|--|--|-------------|------------------------|------------------|------------------|
| Searial No. | 8 | 9 | 10 | 11 | 12 | 13 | 14 |
| Category | Bodies Corporate | Bodies Corporate | Bodies Corporate | NRI – Repat | NRI – Non-Repatriation | Clearing Members | Bodies Corporate |
| Category / More than 1 percentage | More than 1 percentage of shareholding | More than 1 percentage of shareholding | More than 1 percentage of shareholding | Category | Category | Category | Category |
| Name of the Shareholders (I) | MISRILALL MINES PVT LIMITED | PRUDENTIAL IRON MERCHANTS PVT LTD | RIFTY REAL ESTATE PRIVATE LIMITED | | | | |
| PAN (II) | AABCM9928P | AAFPC8290F | AAGCR0173C | | | | |
| No. of the Shareholders (I) | 1 | 1 | 1 | 7 | 3 | 69 | 130 |
| No. of fully paid up equity shares held (IV) | 463191 | 391454 | 156528 | 1893 | 34100 | 465889 | 5193859 |
| No. Of Partly paid-up equity shares held (V) | | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | | |
| Total nos. shares held (VII) = (IV)+(V)+(VI) | 463191 | 391454 | 156528 | 1893 | 34100 | 465889 | 5193859 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 3.18 | 2.69 | 1.07 | 0.01 | 0.23 | 3.2 | 35.63 |
| Number of Voting Rights held in each class of securities (IX) | | | | | | | |
| Class eg: X | 463191 | 391454 | 156528 | 1893 | 34100 | 465889 | 5193859 |
| Class eg:y | | | | | | | |
| Total | 463191 | 391454 | 156528 | 1893 | 34100 | 465889 | 5193859 |
| Total as a % of Total Voting rights | 3.18 | 2.69 | 1.07 | 0.01 | 0.23 | 3.2 | 35.63 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share | 3.18 | 2.69 | 1.07 | 0.01 | 0.23 | 3.2 | 35.63 |

| | | | | | | | |
|--|--------|--------|--------|------|-------|--------|---------|
| capital) (XI)= (VII)+(X) As a % of (A+B+C2) | | | | | | | |
| Number of Locked in shares (XII) | | | | | | | |
| No. (a) | | | | | | | |
| As a % of total Shares held (b) | | | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 463191 | 391454 | 156528 | 1893 | 34100 | 465889 | 5126279 |
| Reason for not providing PAN | | | | | | | |
| Reason for not providing PAN | | | | | | | |

| Any Other (specify) | |
|--|-----------------------|
| Serial No. | |
| Category | |
| Category / More than 1 percentage | |
| Name of the Shareholders (I) | Click here to go back |
| PAN (II) | Total |
| No. of the Shareholders (I) | 209 |
| No. of fully paid up equity shares held (IV) | 5695741 |
| No. Of Partly paid-up equity shares held (V) | |
| No. Of shares underlying Depository Receipts (VI) | |
| Total nos. shares held (VII) = (IV)+(V)+ (VI) | 5695741 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 39.07 |
| Number of Voting Rights held in each class of securities (IX) | |
| Class eg: X | 5695741 |
| Class eg:y | |
| Total | 5695741 |
| Total as a % of Total Voting rights | 39.07 |
| No. Of Shares Underlying Outstanding convertible securities (X) | |
| No. of Shares Underlying Outstanding Warrants (Xi) | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 39.07 |
| Number of Locked in shares (XII) | |

| | |
|---|---------|
| No. (a) | |
| As a % of total Shares held (b) | |
| Number of equity shares held in dematerialized form (XIV) | 5628161 |
| Reason for not providing PAN | |
| Reason for not providing PAN | |

